



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

SECTOR/#

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/271,614    03/17/99    CHEYER    A    SRI1P18-(394

0212/0412

HICKMAN STEPHENS & COLEMAN  
P O BOX 52037  
PALO ALTO CA 94303-0746

NOT ASSIGNED

DATE MAILED:2784

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

04/12/99

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 1100.00

☒ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ 1100.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 504.00 for 34 total claims over 20.

\$ 312.00 for 4 independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☒ 5. The signature of the following joint inventor(s) is missing from the oath or declaration: 4/21/99 AP

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☒ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☒ 7. Your filing receipt was mailed in error because your check was returned without payment.

☒ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.21(m) previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

06/11/1999 MARSHOL 0927161

01 FC:101  
02 FC:105  
03 FC:102  
04 FC:103

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

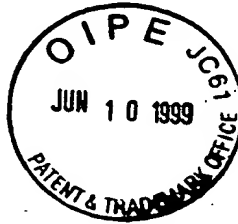
In re the application of

CHEYER et al.

Application No. 09/271,614

Filed: March 17, 1999

For: HIGHLY SCALABLE SOFTWARE-BASED )  
ARCHITECTURE FOR COMMUNICATION )  
AND COOPERATION AMONG )  
DISTRIBUTED ELECTRONIC AGENTS )



) Examiner: Not Yet Assigned

) Group Art Unit: 2784

) Atty. Docket No. SRI1P018

) Date: June 7, 1999

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on June 7, 1999.

Signed: \_\_\_\_\_

Jayashree Vasudevan

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents

**Attention: Box Missing Parts**

Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application dated April 4, 1999, Applicants hereby attach an original executed Declaration and Power of Attorney, an Assignment document, an Assignment Recordation Cover Sheet, and the copy of the Notice to be returned with this response.

Enclosed is our Check No. 3578 for \$1890.00 in payment of the filing fee, surcharge and assignment recording fee. The Commissioner is authorized to charge any other fees that may be due to our Deposit Account No. 50-0384 (Order No. SRI1P018). A copy of this sheet is enclosed for this purpose.

Respectfully submitted,  
HICKMAN STEPHENS & COLEMAN, LLP

\_\_\_\_\_  
Brian R. Coleman  
Reg. No. 39,145

P.O. Box 52037  
Palo Alto, CA 94303-0746  
(650) 470-7430

Receipt

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of

Cheyet et al.

Serial No. 09/271,614

Filed: March 17, 1999

For: HIGHLY SCALABLE SOFTWARE-BASED  
ARCHITECTURE FOR COMMUNICATION  
AND COOPERATION AMONG DISTRIBUTED  
ELECTRONIC AGENTS



Examiner: Unassigned

Group Art Unit: 2784

Date: June 7, 1999

RECEIVED

JUN 06 1999

Group 2700

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on June 7, 1999.

Signed: \_\_\_\_\_

*Jayashree Vasudevan*  
Jayashree Vasudevan

TC 2700 MAIL ROOM

AUG 10 1999

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REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents  
Application Processing Division's  
Customer Correction Branch  
Washington, D.C. 20231

Sir:

Enclosed is a copy of the Filing Receipt for the above-identified patent application. Please reprint the Filing Receipt as follows and mail the corrected copy to the undersigned.

The attorney docket number is incorrect. Please change "SRI1P18" to read --  
SRI1P018--.

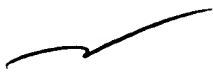
The third and fourth listed inventor should not be there. Please remove them from the Filing Receipt. A copy of the Inventor's Declaration is included herewith.

The continuing data information is incorrect. Please change "09/225,190" to read --  
09/225,198--.

The title is incorrect. Please change "A\_OMG" to read --AMONG--.

Since this is a Patent Office typographical error, no fee should be due. However, the Commissioner is authorized to charge any fees that may be due to Deposit Account 50-0384 (Order No. SRI1P018).

Respectfully submitted,  
HICKMAN STEPHENS & COLEMAN, LLP



Brian R. Coleman  
Reg. No. 39,145

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Palo Alto, CA 94303-0746  
(650) 470-7430

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FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
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OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/271,614	03/17/99	2784	\$0.00	SRI1P18-(394	16	56	7

HICKMAN STEPHENS & COLEMAN  
P O BOX 52037  
PALO ALTO CA 94303-0746

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JUL 06 1999

Group 2700

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

ADAM J CHEYER; DAVID MARTIN; DOUG MORAN; WILLIAM MARK.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CON OF 09/225,190 01/05/99

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 04/09/99.

TITLE

HIGHLY SCALABLE SOFTWARE-BASED ARCHITECTURE FOR COMMUNICATION AND COOPERATION A,OMG DISTRIBUTED ELECTRONIC AGENTS

PRELIMINARY CLASS: 712

RECEIVED  
AUG 10 1999  
TC 2700 MAIL ROOM

REC'D APR 15 1999

DATA ENTRY BY: ZIMMERMAN, SHUANA TEAM: 01 DATE: 04/09/99



FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/271,614	03/17/99	2784	\$0.00	SRIIP18- <del>394</del>	16	56	7

SRIIP018

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